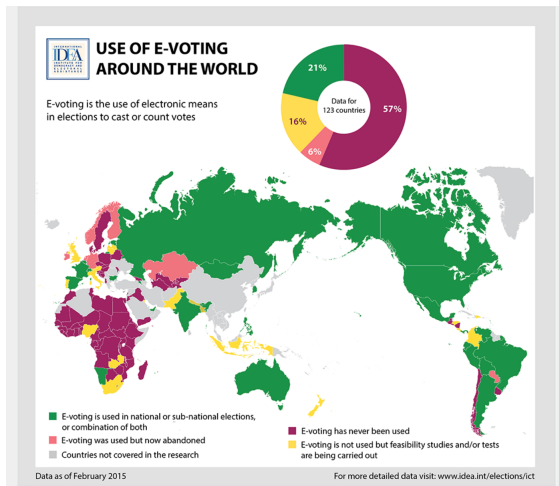


# Authentication with weaker trust assumptions for voting systems

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# E-voting around the world



# What is a voting system?

A **voting system** is a decision-making procedure used by *voters* to choose a representative from some *candidates*, with the support of an *administrator*, often known as *tallier*.

It typically consists of (at least) the following four phases.

- **Setup**: An administrator initialises the system
- **Vote**: Each voter constructs and casts a **ballot** for their choice
- **Tally**: The administrator tallies the recorded ballots and announces an **outcome**
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The outcome is a distribution of choices used to select a representative.

In *first-past-the-post* elections, for example, it corresponds to the choice with highest frequency.

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We will provide an intuition for how to model these requirements later in the talk.

# Example of a voting system

**Helios** [Adi08]: an open-source, web-based voting system that has been used by the IACR, the Catholic University of Louvain, and Princeton University, for instance. Helios works (roughly) as follows.

- **Setup:** An administrator generates a key pair, publishes the **public key** and a proof of correct key construction.
- **Vote:** Each voter **encrypts** their choice with the public key, proves correct ciphertext construction, and casts the ciphertext coupled with the proof, as their ballot.
- **Tally:** The administrator collects any cast ballots, discards any ballot for which proofs do not hold, combines the ciphertexts in the remaining ballots to derive the encrypted outcome, **decrypts**, proves correctness of decryption, and announces the outcome and proof.
- **Verify:** Anyone can recompute the combination, check the proofs, and output 1 if these checks succeed and 0 otherwise.

# Helios 2.0

Helios was first implemented as Helios 2.0.

It is intended to satisfy

- Ballot secrecy (due to encryption)
- Verifiability (thanks to the proofs)

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In this work, we will construct voting systems with *internal* authentication from systems with *external* authentication, weakening the trust assumptions for voting systems.



# Our contribution

In this paper we

- extend the [formal models](#) for voting systems with internal authentication
- provide [a construction](#) from voting systems with external authentication to systems with internal authentication
- prove our construction satisfies [ballot secrecy and verifiability](#)
- apply our results to [Helios](#)

# Modelling voting systems with external and **internal** authentication [SFC15]

- **Setup** $(\kappa) \rightarrow (pk, sk, mb, mc)$  is run by the tallier.
- **Register** $(pk, \kappa) \rightarrow (pd, d)$  is run by the registrar. // *credentials*
- **Vote** $(d, pk, nc, v, \kappa) \rightarrow b$  is run by voters.
- **Tally** $(sk, nc, \mathbf{bb}, L, \kappa) \rightarrow (\mathbf{v}, pf)$  is run by the tallier. // *electoral roll*
- **Verify** $(pk, nc, \mathbf{bb}, L, \mathbf{v}, pf, \kappa) \rightarrow s$ , where  $s$  is either 0 or 1, is run to audit an election.

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The system must satisfy *correctness*.

# Our construction

## The intuition

- We make use of a digital signature scheme and a non-interactive proof system
- Each voter publishes a ballot constructed using the underlying system (with external authentication), along with a **signature** on that ballot and a **proof** they constructed both.

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## The ingredients

- A voting system with external authentication  
 $\Gamma = (\text{Setup}_\Gamma, \text{Vote}_\Gamma, \text{Tally}_\Gamma, \text{Verify}_\Gamma)$
- A digital signature scheme  $\Omega = (\text{Gen}_\Omega, \text{Sign}_\Omega, \text{Verify}_\Omega)$
- A non-interactive proof system, derived from a sigma protocol  $\Sigma$  and a hash function  $\mathcal{H}$  using the Fiat-Shamir transform,  
 $\text{FS}(\Sigma, \mathcal{H}) = (\text{Prove}_\Sigma, \text{Verify}_\Sigma)$

# Our construction - the details

We define voting system with internal authentication  $\text{Ext2Int}(\Gamma, \Omega, \Sigma, \mathcal{H})$  as

- Setup computes  $\text{Setup}_\Gamma$ .
- Register computes  $\text{Gen}_\Omega$  to obtain the credential pair  $(pd, d)$ .
- Vote computes
  - ballot  $b$  by running  $\text{Vote}_\Gamma$
  - signature  $\sigma$  by running  $\text{Sign}_\Omega$  on  $b$
  - proof  $\tau$  by running  $\text{Prove}_\Sigma$  on a relation that ensures ballot and signature are correct

and outputs ballot  $(pd, b, \sigma, \tau)$ .

- Tally computes  $\text{Tally}_\Gamma$  on set  $\text{auth}(\mathbf{bb}, L)$
- Verify computes  $\text{Verify}_\Gamma$  on set  $\text{auth}(\mathbf{bb}, L)$

where  $\text{auth}(\mathbf{bb}, L)$  ensures the tallied ballots are authorised and discards ballots submitted under the same credential.

# Security results

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- **Ballot secrecy**
- **Verifiability**
  - Individual verifiability
  - Universal verifiability
  - Eligibility verifiability

# Ballot secrecy

We extend the definition of ballot secrecy of [SFC15] to systems with internal authentication.

## The intuition

We challenge the adversary to determine whether the voting oracle produces ballots for *left* or *right* input, by giving the adversary the **oracle's output, the election outcome and the tallying proof**.

We prevent the adversary from winning trivially by requiring that the ballots on the tallied bulletin board output from the oracle are *balanced*.

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## Theorem 1

If

- $\Gamma$  is ballot secret
- $\Omega$  is strongly unforgeable
- the proof system is zero knowledge

then our construction satisfies **ballot secrecy**.

# Individual verifiability

## The intuition

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## Theorem 2

If  $\Omega$  satisfies strong unforgeability, then our construction satisfies **individual verifiability**.

# Universal verifiability

## The intuition

Universal verifiability challenges the adversary to concoct a scenario in which either:

- **Verify accepts**, but the election **outcome is not correct**, or
- **Tally produces an election outcome** that **Verify rejects**.

Hence, universal verifiability requires algorithm **Verify** to accept if and only if the election outcome is correct.

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## Theorem 3

If

- $\Gamma$  satisfies universal verifiability
- $\Omega$  is strongly unforgeable
- the proof system is zero knowledge

then our construction satisfies **universal verifiability**.

# Eligibility verifiability

## The intuition

Eligibility verifiability challenges an adversary, who can corrupt voters, to generate a **valid ballot under the private credential of a voter who has not been corrupted.**



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## Theorem 4

If

- $\Omega$  is strongly unforgeable
- the proof system is zero knowledge

then our construction satisfies **eligibility verifiability.**

# Application to Helios

We consider the most recent formalisation of Helios, *Helios'16*, adapted to defend against attacks on Helios identified over the years [SFC15].

Using our construction we can derive a voting system with internal authentication from *Helios'16*.

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Existing security results on *Helios'16* [SFC15, S18] together with our security results can directly be applied to ensure the resulting system satisfies **ballot secrecy** and **verifiability**.

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Existing security results on *Helios'16* [SFC15, S18] together with our security results can directly be applied to ensure the resulting system satisfies **ballot secrecy** and **verifiability**.

Our results can also be applied to the variant of Helios that uses mixnets [Adi08, BGP11].

## Comparison to HeliosC [CGGI14]

Voting systems derived from Helios using our construction are similar to *Helios-C* [CGGI14].

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Crucially, the systems derived by our construction also include **proofs of correct construction**, unlike Helios-C.

This inclusion is key to ensure ballot secrecy.



# Conclusion

In this talk, we

- formalised voting systems with internal authentication
- showed how to derive one from a system with external authentication
- provided intuition for ballot secrecy and verifiability, fundamental security requirements in e-voting
- presented an application to Helios, a widely used e-voting system

Thank you for your attention! 😊

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